

**Case Tracking and Performance Systems
for Costa Rican Narcotrafic Prosecutors**

Award No. SINLEC10GR007

Quarterly Report

October- December 2011

Reporting activities related to Amendment 1 of the Case Tracking and Performance Systems for Costa Rican Narcotrafic Prosecutors Project to further prosecutorial and courts management and provide support and training to the Costa Rican Attorney General's Office in its fight against Narcotrafic

**Submitted to the
Bureau of International Narcotics
and Law Enforcement
United States Department of State
October to December 2011**



**Florida International University
Center for the Administration of
Justice**

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LIST OF ACRONYMS

AG - Attorney General

AGO - Attorney General's Office

APO – Adjunct Prosecutor Office

CPD – Country Project Director

CPP – *Código de Procedimiento Penal* (Criminal Procedure Code)

DCPD - Deputy Country Project Director

FIU – Florida International University

FIU/CAJ – Florida International University, Center for the Administration of Justice

INL - Bureau of International Narcotics and Law Enforcement

ML - Master Lex, FIU's subcontractor

MP – Attorney General's Office, National Prosecutors Office, Public Ministry

PCD- Antidrug Police

EXECUTIVE SUMMARY

Florida International University (FIU), and its subcontractor Master Lex (ML), assisted the Costa Rican Attorney General's Office to design, test and implement a case management information system to upgrade prosecutorial capacity to manage narcotics and transnational crime cases and to Foster greater prosecutorial effectiveness and efficiency. A prototype case tracking system was designed, pilot tested and installed at the Adjunct Narcotics Prosecutors Office in the First Judicial Circuit of San José, including a prosecutorial performance monitoring component. These activities were carried out during between August 2010 and July 2011 with 100% achievement for all indicators.

Amendment 1 of the Case Tracking and Performance systems for the Costa Rican Narcotrafic Prosecutors Project to further prosecutorial and courts management and training to the Costa Rican Attorney General's Office in its fight against narcotrafic was approved to continue implementing activities for nine additional months starting in August of 2011. As per Amendment 3, dated 12/28/2011, the ending date of the project is 5/ 31/ 2012. Thus this quarterly report includes a brief reference to activities implemented during the first year of the project and more detailed information as to activities related to Amendment 1, signed June 29th, 2011 which established the work to be carried out during the second year of the project.

Working in coordination with the Costa Rican Judiciary and Attorney General's Office counterparts the program was able to satisfactory implement activities that during the first year of the project included training, the design and implementation of a case tracking system that incorporates a performance evaluation component and technical assistance to assist the AGO in its efforts to establish a stronger and better prepared organization to combat narcotrafic in the country. During this second year the project has continued implementing activities in coordination with AGO's counterparts and the FIU team has been able to implement them according to the workplan. This report includes AGO's institutional reorganization activities directed at effectively combat narcotrafic.

1. BACKGROUND

The Bureau for International Narcotics and Law Enforcement of the United States Department of State (INL) awarded a contract to Florida International University (FIU) on July 29, 2010, to develop and implement a Case Tracking and Performance System at the Adjunct Narcotrafic Prosecutors Office in the First Judicial Circuit of San José, Costa Rica. The Center for the Administration of Justice (CAJ) at FIU was the unit in charge of implementing the contract that ran in its first stage from August 1, 2010 to July 31, 2011. Its second stage is to last nine months starting August 1st, 2011. The Project's first stage was carried out in eight phases: 1) Establishing a local presence (office, hiring of personnel) and developing the work plan; 2) Conducting an

institutional assessment; 3) Design of the case tracking and performance system; 4) Training prosecutors on key narcotraffic areas; 5) Construction of the software system and testing; 6) System installation and training for IT specialists and other users; 7) Delivery of the system and of replication plan to the Attorney General's Office and; 8) Project evaluation, recommendations and final report preparation, outlining specific deliverables for each phase.

Based on the AG's request and in accordance with prior discussions with INL officials in Costa Rica, FIU submitted to the INL a request to cost-amend and extend the Case Tracking and Performance Systems for Costa Rican Narcotraffic Prosecutors Project to further prosecutorial and courts management and provide support and training to the Costa Rican Attorney General's Office in its fight against narcotraffic. The request sought to improve management, investigation and prosecution of narcotraffic cases and resulted in Amendment #1 to this project signed by FIU on June 29th, 2011 adding US\$ 349,927 to the project and extending its ending date to 1/31/2012. Amendment 3, dated 12/28/2011, extended the ending date of the project to 5/ 31/ 2012. Thus during this second stage of the project FIU is to provide technical assistance for institutional reorganization of the Costa Rican AGO to effectively combat narcotraffic and to assist the Attorney General Office to complete its reorganization.

In direct consultation with AGO and INL officials in Costa Rica, FIU's workplan for the implementation of the new activities indicated in Amendment 1 (See Annex 3) was drafted, approved and began being implemented. Implementation of the new activities began in August of 2011 and FIU expects to finish implementing activities with its counterpart and submit final implementation reports by May 31, 2012.

2. MAIN ACHIEVEMENTS

2.1. TRAINING ON VITAL TECHNICAL AND LEGAL SUBJECTS

All training was completed during the first year of the project. No additional training was provided during this quarter.

2.2. DEVELOPMENT OF THE CASE TRACKING SYSTEM

During the first stage of the project, the contracted Case Tracking and Performance System for Costa Rican Narcotraffic Prosecutors at the Adjunct Narcotics Prosecutors Office in the First Judicial Circuit of San José was delivered.

The implementation plan was executed and the system was delivered, with all the contracted functionality and the alpha testing approved by the counterpart teams. The ML/FIU team completed the final data loading and migration and trained users and technicians in the new system.

The system officially started operating in August 2011. As reported, ML supported the initial process for eleven days, August 17-31, as established by contract.

In addition, ML supported the start up for ten more days allowing for more consultation by users as they became familiar with the new system. To ensure the system's sustainability, and as added value, ML has offered to provide 6 months or 120 hours of remote technical support for the users of the system. Although it was not included in the subcontract signed between FIU and ML, certainly this will be very helpful for the sustainability of the system.

The remote technical support started September, 26th and the *Fiscalia Adjunta de Narcotrafico* (FAN) has been taking advantage of the services provided by Master Lex's team. Thus far, 103.25 of technical support have been provided and the AGO still has 17.25 hours available to be used during the next quarter should they need them. The six-month period will expire February, 28th, 2012.

The following chart includes a cumulative description of type of technical support provided as well as the number of hours of service provided.

TECHNICAL ASSISTANCE PROVIDED SEPTEMBER 01-DECEMBER 2011

No.	PROJECT	DATE	COLLABORATOR	ACTIVITY	TA TIME	IMPL. TIME	OBJECTIVE
1.	192 - FIU Ministerio Público	9/21/2011	Adrián Ovares	Actividad de Soporte	2		Asistencia a Alexandra Chavarria en: Crear un usuario nuevo. Utilizar el módulo de Reasignacion Masiva Atender consultas sobre la documentacion Crear un usuario nuevo. Utilizar el módulo de Reasignacion Masiva Atender consultas sobre la documentacion
2.	192 - FIU Ministerio Público	9/29/2011	Adrián Ovares	Actividad de Soporte	3		Visita a TI del PJ para crear estructura de registro que permite ingresar informacion del Historial Procesal
3.	192 - FIU Ministerio Público	10/6/2011	Walter Gomez	Actividad de Soporte	1.5		Revision de Hoja de Excel y consultas a AO, luego se realiza una llamada a la FAN para comentar con respecto a la mejora que estan solicitando.
4.	192 - FIU Ministerio Público	10/11/2011	Walter Gomez	Actividad de Soporte	2		Elaboración y pruebas de script que se necesita ejecutar para modificar los nombres de los campos de las listas. Se genera el script para ser ejecutado en producción. Se trata de coordinar reunión con personal de la FAN pero no es posible, don Walter no se encuentra en el país.
5.	192 - FIU Ministerio Público	10/13/2011	Walter Gómez	Actividad de Soporte	5.5		1. Visita a la Fiscalía, se hace modulo de registro de intervenciones con prorrogas ajustado. Se configura notificador, seguridad y perfiles, se realizan pruebas con la Fiscal Natalia Villalta. 2. Se elabora un manual que indica como funciona lo anterior. 3. Se ejecuta modificación solicitada en las entradas de las listas. 4. Se atienden varios ajustes solicitados por el Lic. Arturo Salvador por medio telefónico.

6.	192 - FIU Ministerio Público	10/20/2011	Walter Gómez	Actividad de Soporte	0.5	Conversación telefónica con la LICDA Sianny para aclarar proceso de cierre de una intervención telefónica, se indica que las intervenciones solo pueden ser detenidas cuando se registra información en el campo Resultado y Fecha de Desconexión, de esta manera se pasa del estado digitado a Finalizada.
7.	192 - FIU Ministerio Público	10/26/2011	Walter Gómez	Actividad de Soporte	0.5	1. Ayuda a Alexandra para habilitar el usuario de Mar ley 2. Coordinación con Daniel de informática, de que necesito para revisar el error reportado por Arturo. 3. Asistencia en la reasignación masiva. Se ve que hay un error en FileMaster, ya se reporta a desarrollo.
8.	192 - FIU Ministerio Público	10/26/2011	Walter Gómez	Actividad de Soporte	2	Visita de soporte técnico: 1. Error de Asignación Masiva de expedientes (Reportado en el HD) 2. Revisión de error que no guarda cambios en PC de Fiscal Arturo (tengo escritos los pasos, tratare de reproducirlo). 3. Actualización del Perfil Fiscal – Coordinador para Eliminar Expedientes. 4. En las notificaciones está apareciendo un Fiscal que no es parte de la Fiscalía, estoy a la espera de un correo electrónico con más detalle. 5. Actualización del Perfil Auxiliar - Judicial para que pueda re-clasificar evidencias en el estado “Fuera de la Fiscalía”. 6. Reunión asunto de las diligencias.
9.	192 - FIU Ministerio Público	10/31/2011	Walter Gómez	Actividad de Soporte	3	0.5h: Atención de llamada telefónica a Alexandra, Isabel tenia problemas para observar intervinientes relacionados al caso, no se pudo solucionar por teléfono 2.5: Dado lo anterior se tuvo que ir al MP, revisando el problema se detecta que los expedientes de los intervinientes no están asignados. se trata de hacer la asignación en bloque y se logra observar error del servidor por lo que se tiene que ir a informática hacer la corrección respectiva.

10.	192 - FIU Ministerio Público	11/2/2011	Walter Gómez	Actividad de Soporte	3		1. Reconfiguración de las Diligencias de acuerdo con lo solicitado por la FAN. 2. Vueltas para aclarar dudas a los fiscales, solamente 2 fiscales tenían duda con respecto al proceso de notificación de medidas cautelares. 3. Elaboración de correo con las actividades para la FAN.
11.	192 - FIU Ministerio Público	11/7/2011	Walter Gómez	Actividad de Soporte	2.5		Elaboración de reporte de creación de diligencias
12.	192 - FIU Ministerio Público	11/14/2011	Xinia Soto	Actividad de Soporte (Desarrollo) ***	0	14.4	Proceso para Migración de Historial Procesal
13.	192 - FIU Ministerio Público	11/15/2011	Xinia Soto	Actividad de Soporte (Desarrollo) ***	0	14.4	Desarrollo de Hook para sincronizar los historiales procesales
14.	192 - FIU Ministerio Público	11/16/2011	Xinia Soto	Actividad de Soporte (Desarrollo) ***	0	14.4	Ajustes a hook para migrar caso, se ajusta para que migre el historial procesal
15.	192 - FIU Ministerio Público	11/17/2011	Xinia Soto	Actividad de Soporte (Desarrollo) ***	0	14.4	Ajustes a hook de sincronizar caso con historial procesal. Visita a los tribunales para ver como estaba el tema de la documentación y como se almacenaba.
16.	192 - FIU Ministerio Público	11/18/2011	Xinia Soto	Actividad de Soporte (Desarrollo) ***	0	14.4	Ajustes a hook de sincronización automática.
17.	192 - FIU Ministerio Público	11/29/2011	Randall Barrios	Actividad de Soporte	0.75		Se realizo visita para revisar algunas inconsistencias reportadas por el Sr. Arturo Salvador y no se encontraba en la fiscalía de Narcotráfico. La visita se coordinó previamente.

18.	192 - FIU Ministerio Público	11/29/2011	Randall Barrios	Actividad de Soporte	1		Revisión con Walter, la siguientes inconsistencia: Anteriormente había hecho del conocimiento de Walter de una situación respecto de la sumaria 10-000421-622-Pe la cual en la hoja principal al momento de incluir la información de resultado en juicio señala tres opciones: condenados, absueltos/condenados, y absueltos. Al elegir la opción en esa pantalla se marca, pero cuando elijo guardar cambios y salgo del sistema al ingresar nuevamente no se guardó la opción elegida y no permite el sistema volver a elegir la opción deseada. Walter ya tiene conocimiento del problema, inclusive ha tratado de solventarlo, pero hasta el momento no ha sido factible. Se le envió un correo explicando lo que tenia que hacer en ese caso.
19.	192 - FIU Ministerio Público	12/5/2011	Randall Barrios	Actividad de Soporte	2		Realizando pruebas con el caso de uso de la notificación de Medidas Cautelares, se detectó que no es un problema de la notificación si no de la configuración de la cuenta de correo de la máquina del usuario que hace referencia a otro nombre con esa cuenta correo.
20.	192 - FIU Ministerio Público	12/14/2011	Walter Gómez	Actividad de Soporte	2		1. Revisión del Caso de Salvador, no estaba creando los resultados de juicio. 2. Revisión del Caso de Alvaro Montoya, el correo problema es por el correo, se debe contactar a Daniel Ruiz para intentarlo solucionar. 3. Revisión con Alexandra de problemas de sincronización, el sistema sincroniza correctamente. 4. Redacción de Correo para Daniel Ruiz para que ayude a Alvaro Montoya con problema de correo.
					31.25	72	
TOTAL HOURS OF TA PROVIDED					103.25		
TOTAL HOURS OF TA AVAILABLE					16.75		

2.3. INSTITUTIONAL REORGANIZATION ACTIVITIES DIRECTED AT EFFECTIVELY COMBAT NARCOGTRAFFICKING

Agreements regarding specific Project activities and products that are to be implemented by the Project in order to better assist the AGO in its restructuring process were reached during the previous quarter. It was agreed that an emphasis will be put on making the Adjunct Prosecutor's Office for Narcotraffic and organized crime more effective. As indicated in the Project's previous quarterly report, specific areas of support and assistance agreed upon include:

- Assessment of the AGO that include a limited IT assessment as well as a seized property management assessment. Assessments are to include recommendations.
- Assistance for the implementation of the AGO reorganization plan. It includes: a) design of cash trail or cash tracking tools and procedures; b) standardization of seized cash management procedures; c) technical assistance for the reorganization of regional offices; d) strengthening of the AGO's efforts in its fight against narcotraffic; e) strategic planning assistance for the drafting of the AGO's 5-year workplan.

During this period several offices were visited, interviews conducted, surveys designed and carried out and information analysis meetings with AGO officials also took place. Two products : the assessment of the AGO and the assessment regarding management of evidence, seized objects (drugs, guns, objects and vehicles) and cash are were completed in November. They were vetted in a one-day workshop.

Ana Cecilia Calvo continued assessing the evidence management procedures of the AGO and the conditions and procedures of the AGO as well as of the various evidence warehouses and deposits. Her visits included, among others, the Vehicles Deposit, the Prosecutor's Office at Desamparados, the Prosecutor's Office of the II Judicial Circuit. She is assessing the conditions, management procedures and regulations pertaining the handling of seized cash and objects (guns, drugs, vehicles, goods and cash). This assessment work continued with the support of the Office of the President of the Judiciary, Ms. Nancy Hernandez continues to play a key role in assisting the Project obtaining information from the Judiciary's Planning Department regarding budgets and statistics, as well as using the Judiciary's intranet to implement the opinion survey of the job of the AGO that is part of the assessment of the AGO .

The project finished collecting information. However analysis of the surveys continues and will be done by the middle of the next quarter. Some of the offices, warehouses and deposits included:

FECHA	INICIO	FIN	TIEMPO	LUGAR	PERSONAS	MOTIVO
04/10/2011	11:30:00 a.m.	12:30:00 p.m.	01:00	CORTE SUPREMA - PRESIDENCIA	Lic. Nancy Hernández, MBA Carmen Rodríguez	Temas Consultoría FIU
05/10/2011	09:00:00 a.m.	12:30:00 p.m.	03:30	FISCALIA GOICOECHEA	Sr. Roy Elizondo	Evidencias
06/10/2011	03:30:00 p.m.	04:45:00 p.m.	01:15	MINISTERIO PUBLICO-FISCALIA GENERAL	Lic. Jorge Chavarría, Lic. Carlos María Jiménez, Lic. Daniel	Temas Consultoría FIU / Aprobación
11/10/2011	08:30:00 a.m.	12:10:00 p.m.	03:40	OFICINAS ADMINISTRATIVA-FINANCIERO CONTABLE	Lic. Nacira Valverde, Lic. Carlos Hidalgo, Lic. Manuel Miller, Lic. Luis Arroyo	Manejo de Dineros, Flujos, Sistema Depósitos Judiciales y Plataforma Informática de enlace a Fiscalías
14/10/2011	10:00:00 a.m.	12:00:00 p.m.	02:00	ICD	Lic. Oscar Jiménez	Manejo Bienes en casos de Narcotráfico, Bienes Interes
03/11/2011	10:10:00 a.m.	12:10:00 p.m.	02:00	FISCALIA GENERAL	Lic. Jorge Araya	Revisión Encuesta a Fiscalías
10/11/2011	08:30:00 a.m.	11:00:00 a.m.	02:30	MINISTERIO PUBLICO-FISCALIA GENERAL	Lic. Jorge Araya	Apoyo en la Reestructuración. Flujos existentes, Temas: Diligencias y Notificaciones como cuello botella
21/11/2011	08:30:00 a.m.	11:35:00 a.m.	03:05	MINISTERIO PUBLICO-FISCALIA GENERAL	Lic. Jorge Chavarría, Lic. Carlos María Jiménez, Lic. Daniel González, MBA Carmen	Presentación del Informe de Diagnóstico del Ministerio Público
22/11/2011	08:30:00 a.m.	04:00:00 p.m.	07:30	CASA CONDE	Lic. Carlos María Jiménez, Lic. Daniel González, MBA Carmen Rodríguez, Lic. David Brown, Lic. Jorge Araya y grupo de	Presentación del Informe de Bienes Decomisados
14/12/2011	09:10:00 a.m.	12:00:00 p.m.	02:50	6to Piso Edificio OIJ - Sala Trabajo	Lic. Carlos María Jiménez, MBA Carmen Rodríguez, Lic. Jorge Araya, Sra. Adriana Rodríguez, Sr. Christian Vizcaino	Revisión Carpeta de Proyectos. Programación de Trabajo para Modelo de Gestión Fiscalías Territoriales y Lineamientos para Creación Oficina Apoyo a la Investigación Judicial.
19/12/2011	09:00:00 a.m.	12:00:00 p.m.	03:00	6to Piso Edificio OIJ - Aula Judicial	Lic. Carlos María Jiménez, Lic. Jorge Araya, Sra. Adriana Rodríguez, Sr. Christian Vizcaino, Lic. Francisco Fonseca	Trabajo en Montaje de Oficina de Apoyo a la Investigación Judicial
20/12/2011	08:30:00 a.m.	12:05:00 p.m.	03:35	6to Piso Edificio OIJ - Aula Judicial	Lic. Carlos María Jiménez, Lic. Jorge Araya, Sra. Adriana Rodríguez, Sr. Christian Vizcaino	Trabajo en Montaje de Oficina de Apoyo a la Investigación Judicial
21/12/2011	08:15:00 a.m.	11:00:00 a.m.	02:45	6to Piso Edificio OIJ - Aula Judicial	Lic. Carlos María Jiménez, Lic. Jorge Araya, Sra. Adriana Rodríguez, Sr. Christian Vizcaino	Trabajo en Montaje de Oficina de Apoyo a la Investigación Judicial
22/12/2011	09:30:00 a.m.	11:50:00 a.m.	02:20	6to Piso Edificio OIJ - Sala Trabajo	Lic. Jorge Araya, Sra. Adriana Rodríguez, Sr. Christian Vizcaino	Trabajo en Montaje de Oficina de Apoyo a la Investigación Judicial

3. DIFFICULTIES, CHALLENGES AND COMPLIANCE WITH MONITORING PLAN TIMETABLE

The project continues implementing activities on schedule. Although it is estimated that some delays may occur during next quarter due to the Christmas/New Year break since the Judiciary and the AGO is to be on leave until January 9, 2012.

4. AGO COUNTERPART CONTRIBUTION

From the beginning of the Project, AGO personnel have contributed 5,581 person/hours for an estimated total value of US\$125,572, calculating the person/hour at US\$22.50, plus \$8,471 in per diem for participants in that have attended working sessions and training activities. The Attorney General Office's total counterpart contribution has been US \$134,043.5. See summary below.

Summary of AGO Contribution					
Date	No. of hours	No. Persons	Total Hours	Per diem	Total Contribution in US\$
End of First Quarterly Report	15.5	54	127.5		\$2,868.75
End of Second Quarterly Report	165	157	1,713	\$1,807	\$40,349.50
End of Third Quarterly Report	161	176	1016	\$2,250	\$25,110.00
End of Fourth Quarterly Report			1,244.5	\$2,164	\$30,165.25
End of Fifth Quarterly Report	20	8	160		\$3,600.00
End of Sixth Quarterly Report	60	22	1320	\$2,250	\$31,950.00
Total counterpart contribution			5,581	\$8,471	\$134,043.5

5. GENERAL AND ADMINISTRATIVE MATTERS

5.1. PROGRAM EXPENDITURES

Annex 1 contains program expenditures and totals spent by contract line item since the Project's inception to its termination. Program Expenditures are related to two project ID numbers 80000531 and 800001116 because of the difference in contract type/ funding and billing requirements stated in Amendment 1.

5.2. PERSONNEL

The project hired the personnel proposed in the initial proposal. Industrial Engineer Ana Cecilia Calvo was added as consultant team member during last quarter anfter having obtained full approval from INL. There are no other additional consultants hired during this quarter.

5.3. COMMODITY PROCUREMENT

No commodities were procured during this quarter.

5.4. CONTRACTUAL ISSUES

FIU signed with INL a US\$ 349,927 cost amendment, and extension and is to continue providing assistance to the Costa Rican AGO from August 2011 to May 31, 2012, in order to assist the AGO complete its efforts towards its restructuration to make it more effective in its efforts towards combating narcotrafficking.

ANNEX 1

FINANCIAL REPORT

Financial Status Report

Page 1 of 2

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted RLE-STATE BUREAU OF INTRNATIONAL NARCOTICS & LAW ENFORCEMENT		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) SINLEC10GR0047		Page 1	of 1		
		AGENCY APPROVED		pages			
3. Recipient Organization (Name and complete address including Zip code) FLORIDA INTERNATIONAL UNIVERSITY 11200 SW 8TH STREET MIAMI, FL, 33199							
4a. DUNS Number 071298814	4b. EIN 1650177616B5	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) 800000631 FINAL REPORT		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period From: (Month, Day, Year) AUGUST 01, 2010		To: (Month, Day, Year) JULY 30, 2011		9. Reporting Period End Date (Month, Day, Year) JULY 30, 2011			
10. Transactions				Cumulative			
<i>(Use lines a-c for single or multiple grant reporting)</i>							
Federal Cash (To report multiple grants, also use FFR Attachment):							
a. Cash Receipts				552,030.13			
b. Cash Disbursements				600,000.00			
c. Cash on Hand (line a minus b)				-47,969.87			
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized				600,000.00			
e. Federal share of expenditures				600,000.00			
f. Federal share of unliquidated obligations				.00			
g. Total Federal share (sum of lines e and f)				600,000.00			
h. Unobligated balance of Federal funds (line d minus g)				.00			
Recipient Share:							
i. Total recipient share required				.00			
j. Recipient share of expenditures				.00			
k. Remaining recipient share to be provided (line i minus j)				.00			
Program Income:							
l. Total Federal program income earned				.00			
m. Program income expended in accordance with the deduction alternative				.00			
n. Program income expended in accordance with the addition alternative				.00			
o. Unexpended program income (line l minus line m or line n)				.00			
11. Indirect Expense	a. Type PREDETERMINED PROVISIONAL	b. Rate 0.26000	c. Period From 08/01/2010	Period To 07/30/2011	d. Base 238,127.73	e. Amount Charged 61,913.21	f. Federal Share 61,913.21
			g. Totals:		238,127.73	61,913.21	61,913.21
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official REUS,AIDA DIR POST AWARD			c. Telephone (Area code, number and extension) 305-348-2133				
b. Signature of Authorized Certifying Official Aida Reus			d. Email address REUSA@FIU.EDU				
			e. Date Report Submitted (Month, Day, Year) OCTOBER 31, 2011				
14. Agency use only:							
<small>Standard Form 425 - Revised 6/28/2010 OMB Approval Number: 0348-0061 Expiration Date: 10/31/2011</small>							
Paperwork Burden Statement According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.							

https://pmssec.dpm.psc.gov/pls/pmsprod/pms_ffr_forms.single_grant?p_payee_id=C8936... 12/2/2011

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted RLE-STATE BUREAU OF INTERNATIONAL NARCOTICS & LAW ENFORCEMENT	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) SINLEC10GR0047A001	Page 1	of pages
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3. Recipient Organization (Name and complete address including Zip code)
 Florida International University
 OSRA MARC 470 | 11200 SW 8th St | Miami FL 33199

4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
07-129-8814	650177616	800001116		

8. Project/Grant Period From: (Month, Day, Year) 8/1/2010	To: (Month, Day, Year) 5/31/2012	9. Reporting Period End Date (Month, Day, Year) 12/31/2011
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10. Transactions Cumulative

(Use lines a-c for single or multiple grant reporting)

Federal Cash (To report multiple grants, also use FFR Attachment):		
a. Cash Receipts		\$0.00
b. Cash Disbursements		\$0.00
c. Cash on Hand (line a minus b)		(\$97,392.75)

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:		
d. Total Federal funds authorized		\$349,927.00
e. Federal share of expenditures		\$97,392.75
f. Federal share of unliquidated obligations		\$0.00
g. Total Federal share (sum of lines e and f)		\$97,392.75
h. Unobligated balance of Federal funds (line d minus g)		\$252,534.25

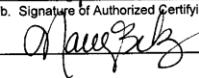
Recipient Share:		
i. Total recipient share required		
j. Recipient share of expenditures		
k. Remaining recipient share to be provided (line i minus j)		

Program Income:		
l. Total Federal program income earned		
m. Program income expended in accordance with the deduction alternative		
n. Program income expended in accordance with the addition alternative		
o. Unexpended program income (line l minus line m or line n)		

11. Indirect Expense	a. Type	b. Rate	c. Period From		Period To	d. Base	e. Amount Charged	f. Federal Share
		Predetermined	0.26	8/1/2010	12/31/2011	77,295.83	\$20,096.92	\$20,096.92
g. Totals:								

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Nancy Belz, Grants Assistant Financial Manager	c. Telephone (Area code, number and extension) 305-348-0165
b. Signature of Authorized Certifying Official 	d. Email address belzn@piu.edu
	e. Date Report Submitted (Month, Day, Year) 01/17/12

14. Agency Use Only

Standard Form 425
 OMB Approval Number: 0348-0061
 Expiration Date: 10/31/2011

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.

ANNEX 2

OTHER PRODUCTS SUBMITTED DURING QUARTER

See additional files, send separately. Copies of these products were already submitted to INL by COP and DCOP.

ANNEX 3

TIME LINE

ACTIVITY	MONTHS								
	Aug	Sep	Oct	Nov	Dec	Jan	Feb	March	April
Estimated starting date August 2011, estimated ending date May 2012									
Provide Technical Assistance to AGO in their strategic planning process leading to a reorganization of the units charged with prosecution of narcotics crimes									
I. Assessment									
II. Limited IT Assessment (DIAGNÓSTICO LIMITADO DE EQUIPOS INFORMÁTICOS Y CONECTIVIDAD)									
III. I Seized property management assessment (DIAGNOSTICO DE LA SITUACION DEL MANEJO DE BIENES ENCAUTADOS)									
Assistance for the Implementation of the reorganization plan									
IV. Design of Cash trail/tracking tools and procedures (ELABORACION DE HERRAMIENTAS DE MEJORA PARA SEGUIMIENTO DE DINEROS)									
V. Standardization of seized cash management procedures (ESTANDARIZACION DEL MANEJO DE DINERO ENCAUTADO COMO EVIDENCIA EN LAS FISCALIAS).									
VI. TA for the reorganization of Regional Offices. Phase I (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. I FASE))									
VII. TA for the reorganization of Regional Offices. Phase II (TA directed to Type B Prosecutors) (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. II FASE (considera solo los fiscales B del modelo))									
VII. TA for the reorganization of Regional Offices Phase II (TA directed at Type C Prosecutors) (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. III FASE (considera solo los fiscales C del modelo))									
VIII. Strengthening Attorney General's Office in its fight against Narcotraffic (FORTALECIMIENTO FUNCIONAL DEL MINISTERIO PÚBLICO)									
IX. Strategic Planning assistance for the drafting of the AGO's 5-year workplan (ASISTENCIA PARA LA FORMULACION DEL NUEVO PLAN QUINQUENAL.)									