

**Case Tracking and Performance Systems
for Costa Rican Narcotrafic Prosecutors**

Award No. SINLEC10GR0047

Quarterly Report

JANUARY-MARCH 2012

Reporting activities related to Amendment 1 of the Case Tracking and Performance Systems for Costa Rican Narcotrafic Prosecutors Project to further prosecutorial and courts management and provide support and training to the Costa Rican Attorney General's Office in its fight against Narcotrafic

**Submitted to the
Bureau of International Narcotics
and Law Enforcement
United States Department of State
January-March 2012**



**Florida International University
Center for the Administration of
Justice**

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LIST OF ACRONYMS

AG - Attorney General

AGO - Attorney General's Office

APO – Adjunct Prosecutor Office

CPD – Country Project Director

CPP – *Código de Procedimiento Penal* (Criminal Procedure Code)

DCPD - Deputy Country Project Director

FIU – Florida International University

FIU/CAJ – Florida International University, Center for the Administration of Justice

INL - Bureau of International Narcotics and Law Enforcement

ML - Master Lex, FIU's subcontractor

MP – Attorney General's Office, National Prosecutors Office, Public Ministry

PCD- Antidrug Police

EXECUTIVE SUMMARY

Florida International University (FIU), and its subcontractor Master Lex (ML), assisted the Costa Rican Attorney General's Office to design, test and implement a case management information system to upgrade prosecutorial capacity to manage narcotics and transnational crime cases and to Foster greater prosecutorial effectiveness and efficiency. A prototype case tracking system was designed, pilot tested and installed at the Adjunct Narcotics Prosecutors Office in the First Judicial Circuit of San José, including a prosecutorial performance monitoring component. These activities were carried out during between August 2010 and July 2011 with 100% achievement for all indicators.

Amendment 1 of the Case Tracking and Performance systems for the Costa Rican Narcotrafic Prosecutors Project to further prosecutorial and courts management and training to the Costa Rican Attorney General's Office in its fight against narcotrafic was approved to continue implementing activities for nine additional months starting in August of 2011. As per Amendment 3, dated 12/28/2011, the ending date of the project is 5/ 31/ 2012. Thus this quarterly report, includes background information as to activities implemented during the first year of the project and more detailed information as to the work to be carried out during the second year of the project and the quarter in particular.

Working in coordination with the Costa Rican Judiciary and Attorney General's Office counterparts the program was able to satisfactory implement activities that during the first year of the project included training, the design and implementation of a case tracking system that incorporates a performance evaluation component and technical assistance to assist the AGO in its efforts to establish a stronger and better prepared organization to combat narcotrafic in the country. During this second year the project has continued implementing activities in coordination with AGO's counterparts and the FIU team has been able to implement them according to the workplan. This report includes AGO's institutional reorganization activities directed at effectively combat narcotrafic.

1. BACKGROUND

The Bureau for International Narcotics and Law Enforcement of the United States Department of State (INL) awarded a contract to Florida International University (FIU) on July 29, 2010, to develop and implement a Case Tracking and Performance System at the Adjunct Narcotrafic Prosecutors Office in the First Judicial Circuit of San José, Costa Rica. The Center for the Administration of Justice (CAJ) at FIU was the unit in charge of implementing the contract that ran in its first stage from August 1,

2010 to July 31, 2011. Its second stage is to last nine months starting August 1st, 2011. The Project's first stage was carried out in eight phases: 1) Establishing a local presence (office, hiring of personnel) and developing the work plan; 2) Conducting an institutional assessment; 3) Design of the case tracking and performance system; 4) Training prosecutors on key narcotraffic areas; 5) Construction of the software system and testing; 6) System installation and training for IT specialists and other users; 7) Delivery of the system and of replication plan to the Attorney General's Office and; 8) Project evaluation, recommendations and final report preparation, outlining specific deliverables for each phase.

Based on the AG's request and in accordance with prior discussions with INL officials in Costa Rica, FIU submitted to the INL a request to cost-amend and extend the Case Tracking and Performance Systems for Costa Rican Narcotraffic Prosecutors Project to further prosecutorial and courts management and provide support and training to the Costa Rican Attorney General's Office in its fight against narcotraffic. The request sought to improve management, investigation and prosecution of narcotraffic cases and resulted in Amendment #1 to this project signed by FIU on June 29th, 2011 adding US\$ 349,927 to the project and extending its ending date to 1/31/2012. Amendment 3, dated 12/28/2011, extended the ending date of the project to 5/ 31/ 2012. Thus during this second stage of the project FIU is to provide technical assistance for institutional reorganization of the Costa Rican AGO to effectively combat narcotraffic and to assist the Attorney General Office to complete its reorganization.

In direct consultation with AGO and INL officials in Costa Rica, FIU's workplan for the implementation of the new activities indicated in Amendment 1 (See Annex 3) was drafted, approved and began being implemented. Implementation of the new activities began in August of 2011 and FIU expects to finish implementing activities with its counterpart and submit final implementation reports by May 31, 2012. This reports includes activities implemented during the January 2012- March 2012 quarter.

2. MAIN ACHIEVEMENTS

2.1. TRAINING ON VITAL TECHNICAL AND LEGAL SUBJECTS

All training was completed during the first year of the project. No additional training was provided during this quarter. Training was conducted on strategic planning and reorganization issues.

2.2. DEVELOPMENT OF THE CASE TRACKING SYSTEM

During the first stage of the project, the contracted Case Tracking and Performance System for Costa Rican Narcotraffic Prosecutors at the Adjunct Narcotics Prosecutors Office in the First Judicial Circuit of San José was delivered.

The implementation plan was executed and the system was delivered, with all the contracted functionality and the alpha testing approved by the counterpart teams.

The ML/FIU team completed the final data loading and migration and trained users and technicians in the new system.

The system officially started operating in August 2011. As reported, ML supported the initial process for eleven days, August 17-31, as established by contract. In addition, ML supported the start up for ten more days allowing for more consultation by users as they became familiar with the new system. To ensure the system's sustainability, and as added value, ML has offered to provide 6 months or 120 hours of remote technical support for the users of the system. Although it was not included in the subcontract signed between FIU and ML, certainly this will be very helpful for the sustainability of the system.

The remote technical support started September, 26th and the *Fiscalia Adjunta de Narcotrafico* (FAN) has been taking advantage of the services provided by Master Lex's team. Thus far, 103.25 of technical support have been provided and the AGO still has 17.25 hours available to be used during the next quarter should they need them. The six-month period will expire February, 28th, 2012.

The following chart includes a cumulative description of type of technical support provided as well as the number of hours of service provided in this area. No additional requests were received from the AGO during this quarter. Thus the summary remains the same as of last quarter's.

**TECHNICAL ASSISTANCE PROVIDED SEPTEMBER 01- DECEMBER 2011
NO ADDITIONAL ASSISTANCE REQUESTED DURING JANUARY 01-MARCH 31, 2012**

No.	PROJECT	DATE	COLLABORATOR	ACTIVITY	TA TIME	IMPL. TIME	OBJECTIVE
1.	192 - FIU Ministerio Público	9/21/2011	Adrián Ovares	Support Activity	2		Assist Alexandra Chavarria with: Create a new user. Using the massive reallocation module Answer questions about the documentation Create a new user. Using the massive reallocation module Answer questions about the documentation
2.	192 - FIU Ministerio Público	9/29/2011	Adrián Ovares	Support Activity	3		Visit the PJ IT department to create a structure that allows you to enter Procedural History registration information
3.	192 - FIU Ministerio Público	10/6/2011	Walter Gomez	Support Activity	1.5		Review of Excel Sheet and consultation with AO, then makes a call to the FAN to discuss the improvements they are applying for.
4.	192 - FIU Ministerio Público	10/11/2011	Walter Gomez	Support Activity	2		Development and testing of the script that must be run to change field names from the lists. It generates the script to be run in production. Attempted to coordinate a meeting with staff at the FAN but it was not possible, as Mr. Walter is out of the country.
5.	192 - FIU Ministerio Público	10/13/2011	Walter Gómez	Support Activity	5.5		1. Visit to the Prosecutor's office, set up registration module with extended set of interventions. Set up the notifier, security and profile testing with attorney Natalia Villalta. 2. Make a manual that describes the functions listed above. 3. Executed the requested modifications of the list entries. 4. Handled several adjustments requested by Mr. Arthur via telephone.

6.	192 - FIU Ministerio Público	10/20/2011	Walter Gómez	Support Activity	0.5	Telephone conversation with Mr. Sianny to clarify the process of closing a wiretap, it indicates that interventions can be stopped only when information is recorded in the Result field and date of disconnection, so it passes from being in progress to Completed.
7.	192 - FIU Ministerio Público	10/26/2011	Walter Gómez	Support Activity	0.5	<ol style="list-style-type: none"> 1. Help Alexandra enable the use of Maritime Law. 2. Spoke with Daniel from IT regarding the error reported by Arthur. 3. Provide assistance with massive reallocation. There is an error in FileMaster; it has already been reported to development.
8.	192 - FIU Ministerio Público	10/26/2011	Walter Gómez	Support Activity	2	<p>Support Visit</p> <ol style="list-style-type: none"> 1. Error in records for mass allocation (Reported in the HD). 2. Review of error, where changes are not saved Mr. Arturo's PC (I have written the steps, I will try to recreate it). 3. Update the Prosecutor's profile – to eliminate records. 4. There is an attorney showing up within the notifications who is not part of the prosecution, I am waiting for an email with more details. 5. Update the profile for the Assistant - Judicial to allow evidence to be classified as "Out of Office". 6. Meeting regarding the proceedings.
9.	192 - FIU Ministerio Público	10/31/2011	Walter Gómez	Support Activity	3	<p>0.5h: Telephone conversation with Akexandra; Isabel was unable to see the records for those involved in a case. We were unable to solve the problem over the phone.</p> <p>2.5: Because of the aforementioned problem, it was necessary to visit the MP, while troubleshooting the problem it was noted that the records for the people involved in the case were not assigned. We attempted to assign the records but got an error from the server. The IT dept. must make the necessary corrections.</p>

10.	192 - FIU Ministerio Público	11/2/2011	Walter Gómez	Support Activity	3		1. Reconfiguration of the measures of agreement as requested by the FAN. 2. Rounds to clarify doubts with the prosecutors, prosecutors had only 2 questions about the measures behind the notification process. 3. Preparation of mail activities for the FAN.
11.	192 - FIU Ministerio Público	11/7/2011	Walter Gómez	Support Activity	2.5		Preparation of Report-building measures.
12.	192 - FIU Ministerio Público	11/14/2011	Xinia Soto	Support Activity and Development) ***	0	14.4	Process for Migrating Procedural History.
13.	192 - FIU Ministerio Público	11/15/2011	Xinia Soto	Support Activity and Development) ***	0	14.4	Hook to synchronize development of procedural records.
14.	192 - FIU Ministerio Público	11/16/2011	Xinia Soto	Support Activity and Development) ***	0	14.4	Adjustments to hook to migrate the procedural history.
15.	192 - FIU Ministerio Público	11/17/2011	Xinia Soto	Support Activity and Development) *****	0	14.4	Adjustments to Hook to synchronize case procedural history. Visit to the courts to see about the issue of documentation and how it was stored.
16.	192 - FIU Ministerio Público	11/18/2011	Xinia Soto	Support Activity and Development ***	0	14.4	Adjustments to Hook automatic synchronization.
17.	192 - FIU Ministerio Público	11/29/2011	Randall Barrios	Support Activity	0.75		Visit was conducted to review some inconsistencies reported by Mr. Arturo Salvador, not in the prosecution of drug trafficking. The visit was coordinated in advance.

18.	192 - FIU Ministerio Público	11/29/2011	Randall Barrios	Support Activity and Development	1		Review with Walter, the following inconsistency: He had previously made known to Walter in a situation regarding the summary 10-000421-622-Pe which in the main sheet to include information on trial results identified three options: convicted, acquitted / convicted, and acquitted. When choosing the option on that screen it checks, but when I choose to save changes and leave the system to re-enter it did not save my choice and does not allow the system to again choose the desired option. Walter is already aware of the problem, he even tried to solve it, but so far has not been feasible. He sent an email explaining what I had to do in that case.
19.	192 - FIU Ministerio Público	12/5/2011	Randall Barrios	Support Activity	2		Testing the notification of Injunctive Relief, it was detected that is not an issue of the notification but the configuration of the email account of the user's machine that refers to another name to that account mailing.
20.	192 - FIU Ministerio Público	12/14/2011	Walter Gómez	Support Activity and Development	2		1. Case Review of Salvador, was not creating the results of trial. 2. Case Review of Alvaro Montoya, there is an e-mail problem, you should contact Daniel Ruiz to try to solve. 3. Review by Alexandra on synchronization problems, the system synchronizes correctly. 4. Wrote e-mail to Daniel Ruiz asking him to help Alvaro Montoya with a problem he is having with his e-mail.
					31.25	72	
TOTAL HOURS OF TA PROVIDED					103.25		
TOTAL HOURS OF TA AVAILABLE					16.75		

2.3. INSTITUTIONAL REORGANIZATION ACTIVITIES DIRECTED AT EFFECTIVELY COMBAT NARCOGTRAFFICKING

Agreements regarding specific Project activities and products that are to be implemented by the Project in order to better assist the AGO in its restructuring process were reached during the previous quarter. It was agreed that an emphasis will be put on making the Adjunct Prosecutor's Office for Narcotrafic and organized crime more effective. As indicated in the Project's previous quarterly report, specific areas of support and assistance agreed upon include:

- Assessment of the AGO that include a limited IT assessment as well as a seized property management assessment. Assessments are to include recommendations.
- Assistance for the implementation of the AGO reorganization plan. It includes: a) design of cash trail or cash tracking tools and procedures; b) standardization of seized cash management procedures; c) technical assistance for the reorganization of regional offices; d) strengthening of the AGO's efforts in its fight against narcotrafic; e) strategic planning assistance for the drafting of the AGO's 5-year workplan.

During this period visits to the offices continued, interviews conducted, and information analysis was carried out. Meetings with AGO officials also took place. Two products : the assessment of the AGO and the assessment regarding management of evidence, seized objects (drugs, guns, objects and vehicles) and cash are were completed in November. They were vetted in a one-day workshop.

Ana Cecilia Calvo continued assessing the evidence management procedures of the AGO and the conditions and procedures of the AGO as well as of the various evidence warehouses and deposits. Her visits included, among others, the Vehicles Deposit, the Prosecutor's Office at Desamparados, the Prosecutor's Office of the II Judicial Circuit. She is assessing the conditions, management procedures and regulations pertaining the handling of seized cash and objects (guns, drugs, vehicles, goods and cash). This assessment work continued with the support of the Office of the President of the Judiciary, Ms. Nancy Hernandez continues to play a key role in assisting the Project obtaining information from the Judiciary's Planning Department regarding budgets and statistics, as well as using the Judiciary's intranet to implement the opinion survey of the job of the AGO that is part of the assessment of the AGO .

The project finished collecting information during the prior quarter and conducted the analysis of the surveys during this quarter. The corresponding analysis (product) was submitted to INL and is attached as annex.

As a result of the first stage of the project, a considerable time of the prosecutors' work has been spent on administrative formalities, or producing reports in response to management control policies. In the case of the Office of Narcotics, the system that was

built, being a product of the donor community, generates reports that free up at least 50% of their time prior to its implementation. The rest of the Office of the Attorney General continues to craft systems using information records which are still not uniform, with each prosecution team creating their own recording system, with the same information typed up to six times. Thus the project is assisting them to create a system so they don't have to do everything manually every time reports are requested.

The Office of the Attorney General has identified a portfolio of eleven strategic projects aimed at increasing efficiency and management effectiveness in order to obtain better results in its task of responding to crime faster. The Project is supporting four of these efforts in order to promote and strengthen the work structures, facilitate the creation of offices that support management and build simpler processes. However there is a commitment by the Project to work on the incorporation of all eleven projects in the portfolio in the five-year strategic plan to be submitted to Planning Department, in order to provide sustainability to the efforts invested in them.

The Office of the Attorney General, aware of the problem in their recording systems, has produced strategic projects aimed at creating an office to monitor and control their progress. In the last year, they have initiated the task to create a unified record information system. Prosecutors' reports have been typed up for a second time at headquarters for consolidation and analysis by senior officials. Here the Project has the task of building the office's profile; the Office of the Attorney General has a projection of August of 2012 for these services to be in place, which includes the location of staff, budget, space, equipment, etc.

It must be noted that scenario which began with the expansion of the Project changed when, by a public prosecutor policy, the Office of Narcotics was absorbed by a much broader Office of the Public Prosecutor (Fiscalía) rather than solely combating organized crime, which provided a link between the registration system and monitoring drug trafficking cases and other matters (vehicle theft, money laundering, money laundering, etc.). Tasks have been identified in order to better assist them in the reorganization process of the Organized Crime Unit and the Narcotrafficking Unit during the following quarters.

The first reported quarter (January to March 2012) was a challenging time working with the deputy prosecutors from the Office of the Attorney General and their support team. Some work began in late 2011 and was finalized at the end of March. It was possible to analyze and deliver the results of a survey of 180 users of the system.

The main findings show that:

- a. There is an excessive workload and poor training or skills that impact the management results because prosecutors are too young and inexperienced. This aspect is considered in the Office of the Attorney General's Schools project and the redesign of the recruitment and selection process in which the Project is present.
- b. Case resolution times are negatively affected by two factors: an excess in circulation and lack of screening early in the process.

- c. System operators including prosecutors that are unaware of prosecution policies issued by the Attorney General. Meetings have been conducted between the Project and the Office of the Attorney General to discuss publicizing policies.
- d. The regulatory framework distracts from the substantive function and falls short in addressing the problem of crime. Dr. Daniel Gonzalez is working on a report with recommendations to resolve the issue.
- e. A survey on the issue of confiscated property to ratify the findings in the diagnostics was delivered in December of 2011 by the Project. In March 2012 an office that monitors confiscated property was created and attached to the administrative department of the Office of the Attorney General.

One of the major projects within its portfolio of strategic projects for the restructuring of the Office of the Attorney General is the creation of an office to support police investigation. This office aims at freeing up prosecutors from San Jose in the First Instance, from those investigations which have not identified a subject(s) so they can concentrate on those cases where there is already one or a group of people involved in a crime.

To facilitate the physical design of this office, the Project provided some additional time from Ana Cecilia Calvo, an industrial engineer, who had already been working in recent months with them on other tasks. During the process, more than eight possible models were conceived. The Acting Attorney Carlos María Jiménez presented the designs to 80 assistant district attorneys and prosecutors around the country in an event at Tres Ríos on February 22nd and 23rd.



Juan XXIII Workshop • February 22nd - 23rd, 2012

On March 8th and 9th, different models and proposals were discussed at the Hotel Bougainvillea. The contribution of the Project, apart from facilitating the construction of models, collected all the positive and negative reaction from different officials for each of the proposed models. A decision on which model that will be implemented through a policy decision by the Attorney General has not been taken yet.



Bougainvillea Workshop • March 8th – 9th, 2012

As a result of these meetings, new roles were created for deputy prosecutors, prosecutors, assistant prosecutors and other positions to fit the new model of prosecution that is being implemented.

Another deliverable was the work by 35 prosecutors who recorded the elements considered to be most effective in cases that come before the judiciary out of five crimes that cause the greatest harm to the citizenry: burglary, drug trafficking, murder, rape, and corruption.

On going activities include : support for the creation of the Office of Monitoring and Control (*Oficina de Monitoreo y Control*) continued during this quarter. Training of assistant prosecutors in improving the case discussion group is to be held in April. Facilitation in building the profile of the new five-year strategic plan and the inclusion of projects in the portfolio of strategic projects to give sustainability through working meetings with high officials.

3. DIFFICULTIES, CHALLENGES AND COMPLIANCE WITH MONITORING PLAN TIMETABLE

The project continues implementing activities on schedule.

4. AGO COUNTERPART CONTRIBUTION

The Attorney General Office's total counterpart contribution has been US \$186,338.5. See summary below.

During this quarter the Office of the Attorney General led a meeting with 80 prosecutors around the country in an auditorium called John XXIII located in Tres Ríos to explain new policies and strategies which will provide greater efficiency for the institution. For two days prosecutors were briefed on the results of pilot schemes that

announced advances in digital recording, including police investigation methods. This event was fully carried out by the Office of the Attorney General.

A major announcement was also made at the Hotel Bougainvillea, attended by 35 prosecutors over a two day period, which was covered by the Project. The aim was to analyze in a participatory model, the proposed model of office support for police investigation, listen to new proposals, and reconcile opinions.

In this activity, new roles for prosecutors were defined and citizen alarms were analyzed. Each event required transportation and lodging for the prosecutors, whereby expenses were included in the overall plan. The contribution provided in return for this quarter was \$52,295. The details are found attached to this report.

Summary of AGO Contribution					
Date	No. of hours	No. Persons	Total Hours	Per diem	Total Contribution in US\$
End of First Quarterly Report	15.5	54	127.5		\$2,868.75
End of Second Quarterly Report	165	157	1,713	\$1,807	\$40,349.50
End of Third Quarterly Report	161	176	1016	\$2,250	\$25,110.00
End of Fourth Quarterly Report			1,244.5	\$2,164	\$30,165.25
End of Fifth Quarterly Report	20	8	160		\$3,600.00
End of Sixth Quarterly Report	60	22	1320	\$2,250	\$31,950.00
End of Seventh Quarterly Report	16	115	1840		\$52,295.00
Total counterpart contribution					\$186,338.5

5. GENERAL AND ADMINISTRATIVE MATTERS

5.1. PROGRAM EXPENDITURES

Annex 1 contains program expenditures and totals spent by contract line item since the Project's inception to its termination. Program Expenditures are related to two project ID numbers 80000531 and 800001116 because of the difference in contract type/ funding and billing requirements stated in Amendment 1.

5.2. PERSONNEL

The project hired the personnel proposed in the initial proposal. Industrial Engineer Ana Cecilia Calvo continues as consultant team member during this quarter and will continue on until the end of the project. There are no other additional consultants hired during this quarter.

5.3. COMMODITY PROCUREMENT

No commodities were procured during this quarter.

5.4. CONTRACTUAL ISSUES

None this quarter.

ANNEX 1

FINANCIAL REPORT

Financial Status Report

Page 1 of 2

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted RLE-STATE BUREAU OF INTRNATIONAL NARCOTICS & LAW ENFORCEMENT		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) SINLEC10GR0047		Page 1	of 1		
		AGENCY APPROVED		pages			
3. Recipient Organization (Name and complete address including Zip code) FLORIDA INTERNATIONAL UNIVERSITY 11200 SW 8TH STREET MIAMI, FL, 33199							
4a. DUNS Number 071298814	4b. EIN 1650177616B5	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) 800000631 FINAL REPORT		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
8. Project/Grant Period From: (Month, Day, Year) AUGUST 01, 2010		To: (Month, Day, Year) JULY 30, 2011		9. Reporting Period End Date (Month, Day, Year) JULY 30, 2011			
10. Transactions				Cumulative			
<i>(Use lines a-c for single or multiple grant reporting)</i>							
Federal Cash (To report multiple grants, also use FFR Attachment):							
a. Cash Receipts				552,030.13			
b. Cash Disbursements				600,000.00			
c. Cash on Hand (line a minus b)				-47,969.87			
<i>(Use lines d-o for single grant reporting)</i>							
Federal Expenditures and Unobligated Balance:							
d. Total Federal funds authorized				600,000.00			
e. Federal share of expenditures				600,000.00			
f. Federal share of unliquidated obligations				.00			
g. Total Federal share (sum of lines e and f)				600,000.00			
h. Unobligated balance of Federal funds (line d minus g)				.00			
Recipient Share:							
i. Total recipient share required				.00			
j. Recipient share of expenditures				.00			
k. Remaining recipient share to be provided (line i minus j)				.00			
Program Income:							
l. Total Federal program income earned				.00			
m. Program income expended in accordance with the deduction alternative				.00			
n. Program income expended in accordance with the addition alternative				.00			
o. Unexpended program income (line l minus line m or line n)				.00			
11. Indirect Expense	a. Type PREDETERMINED PROVISIONAL	b. Rate 0.26000	c. Period From 08/01/2010	Period To 07/30/2011	d. Base 238,127.73	e. Amount Charged 61,913.21	f. Federal Share 61,913.21
				g. Totals:	238,127.73	61,913.21	61,913.21
12. Remarks: <i>Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.</i>							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official REUS,AIDA DIR POST AWARD			c. Telephone (Area code, number and extension) 305-348-2133				
b. Signature of Authorized Certifying Official Aida Reus			d. Email address REUSA@FIU.EDU				
			e. Date Report Submitted (Month, Day, Year) OCTOBER 31, 2011				
14. Agency use only:							
<small>Standard Form 425 - Revised 6/28/2010 OMB Approval Number: 0348-0061 Expiration Date: 10/31/2011</small>							
Paperwork Burden Statement According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.							

https://pmssec.dpm.psc.gov/pls/pmsprod/pms_ffr_forms.single_grant?p_payee_id=C8936... 12/2/2011

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted RLE-STATE BUREAU OF INTERNATIONAL NARCOTICS & LAW ENFORCEMENT		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) SINLEC10GR0047A001		Page 1	of pages
3. Recipient Organization (Name and complete address including Zip code) Florida International University OSRA MARC 470 11200 SW 8th St Miami FL 33199					
4a. DUNS Number 07-129-8814	4b. EIN 650177616	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) 800001116	6. Report Type <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. Project/Grant Period From: (Month, Day, Year) 8/1/2010			9. Reporting Period End Date (Month, Day, Year) 12/31/2011		
10. Transactions					Cumulative

(Use lines a-c for single or multiple grant reporting)

Federal Cash (To report multiple grants, also use FFR Attachment):		\$0.00
a. Cash Receipts		\$0.00
b. Cash Disbursements		(\$97,392.75)
c. Cash on Hand (line a minus b)		

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:		
d. Total Federal funds authorized		\$348,927.00
e. Federal share of expenditures		\$97,392.75
f. Federal share of unliquidated obligations		\$0.00
g. Total Federal share (sum of lines e and f)		\$97,392.75
h. Unobligated balance of Federal funds (line d minus g)		\$252,534.25

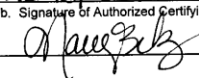
Recipient Share:		
i. Total recipient share required		
j. Recipient share of expenditures		
k. Remaining recipient share to be provided (line i minus j)		

Program Income:		
l. Total Federal program income earned		
m. Program income expended in accordance with the deduction alternative		
n. Program income expended in accordance with the addition alternative		
o. Unexpended program income (line l minus line m or line n)		

11. Indirect Expense	a. Type	b. Rate	c. Period From		Period To	d. Base	e. Amount Charged	f. Federal Share
		Predetermined	0.26	8/1/2010	12/31/2011		77,295.83	\$20,096.92
g. Totals:								

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Nancy Belz, Grants Assistant Financial Manager	c. Telephone (Area code, number and extension) 205-348-0165
b. Signature of Authorized Certifying Official 	d. Email address belzn@piu.edu
	e. Date Report Submitted (Month, Day, Year) 01/17/12
14. Agency Use Only	

Standard Form 425
OMB Approval Number: 0348-0061
Expiration Date: 10/31/2011

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.

ANNEX 2

OTHER PRODUCTS SUBMITTED DURING QUARTER

See additional files, send separately. Copies of these products were already submitted to INL by COP and DCOP.

ANNEX 3

TIME LINE

ACTIVITY	MONTHS								
	Aug	Sep	Oct	Nov	Dec	Jan	Feb	March	April
Estimated starting date August 2011, estimated ending date May 2012									
Provide Technical Assistance to AGO in their strategic planning process leading to a reorganization of the units charged with prosecution of narcotics crimes									
I. Assessment									
II. Limited IT Assessment (DIAGNÓSTICO LIMITADO DE EQUIPOS INFORMÁTICOS Y CONECTIVIDAD)									
III. I Seized property management assessment (DIAGNOSTICO DE LA SITUACION DEL MANEJO DE BIENES ENCAUTADOS)									
Assistance for the Implementation of the reorganization plan									
IV. Design of Cash trail/tracking tools and procedures (ELABORACION DE HERRAMIENTAS DE MEJORA PARA SEGUIMIENTO DE DINEROS)									
V. Standardization of seized cash management procedures (ESTANDARIZACION DEL MANEJO DE DINERO ENCAUTADO COMO EVIDENCIA EN LAS FISCALIAS).									
VI. TA for the reorganization of Regional Offices. Phase I (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. I FASE))									
VII. TA for the reorganization of Regional Offices. Phase II (TA directed to Type B Prosecutors) (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. II FASE (considera solo los fiscales B del modelo))									
VII. TA for the reorganization of Regional Offices Phase II (TA directed at Type C Prosecutors) (APOYO EN LA REESTRUCTURACIÓN DE LAS FISCALIAS TERRITORIALES. III FASE (considera solo los fiscales C del modelo))									
VIII. Strengthening Attorney General's Office in its fight against Narcotraffic									
IX. (FORTALECIMIENTO FUNCIONAL DEL MINISTERIO PÚBLICO)									
X. Strategic Planning assistance for the drafting of the AGO's 5-year workplan (ASISTENCIA PARA LA FORMULACION DEL NUEVO PLAN QUINQUENAL.)									

